## CS 523: Computer Graphics, Spring 2011 Shape Modeling

**Shape Representations** 

# **Course topics**

- Shape representation
  - Points
  - Parametric surfaces
  - Implicits









Andrew Nealen, Rutgers, 2011

# **Course topics**

- Shape representation
  - Subdivision surfaces
  - Polygonal meshes









# Shape representation

- Where does the shape come from?
- Modeling "by hand":
  - Higher-level representations, amendable to modification, control
  - Parametric surfaces, subdivision surfaces, implicits
- Acquired real-world objects:
  - Discrete sampling
  - Points, meshes







# Shape acquisition

Sampling of real world objects



- Standard 3D data from a variety of sources
  - Often results from scanners
  - Potentially noisy





- Depth imaging (e.g. by triangulation
- Registration of multiple images



- points = unordered set of 3-tuples
- Often converted to other reps
  - Meshes, implicits, parametric surfaces
  - Easier to process, edit and/or render
- Efficient point processing and modeling requires a spatial partitioning data structure
  - To figure out neighborhoods



## Neighborhood information

Why do we need neighbors?



need normals (for shading)

upsampling – need to count density

- Need sub-linear implementations of
  - k-nearest neighbors to point x
  - In radius search  $\|\mathbf{p}_i \mathbf{x}\| < \varepsilon$

# **Spatial Data Structures**

Commonly used for point processing

- Regular uniform 3D lattice
  - Simple point insertion by coordinate discretization
  - Simple proximity queries by searching neighboring cells



- Determining lattice parameters (i.e. cell dimensions) is nontrivial
- Generally unbalanced, i.e. many empty cells

# **Spatial Data Structures**

Commonly used for point processing

## Octree

- Splits each cell into 8 equal cells
- Adaptive, i.e. only splits when too many points in cell
- Proximity search by (recursive) tree traversal and distance to neighboring cells
- Tree might not be balanced





# **Spatial Data Structures**

Commonly used for point processing

- Kd-Tree
  - Each cell is individually split along the median into two cells
  - Same amount of points in cells
  - Perfectly balanced tree
  - Proximity search similar to the recursive search in an Octree
  - More data storage required for inhomogeneous cell dimensions





**P2** 

P4

## Parametric Curves and Surfaces

# Parametric Curves and Surfaces

Curves are 1-dimensional parameterizations



$$S(t) = \mathbf{x}_t$$



Surfaces are 2-dimensional parameterizations



$$S(x, y) = \mathbf{x}_t$$



## Parametric Curves and Surfaces Examples

Explicit curve/circle in 2D

$$\mathbf{p}: R \to R^d, d = 1, 2, 3, \dots$$
$$t \mapsto \mathbf{p}(t) = (x(t), y(t), z(t))$$

$$\mathbf{p}(t) = r \cdot (\cos(t), \sin(t), 0)$$
$$t \in [0, 2\pi]$$

## Parametric Curves and Surfaces Examples

Explicit surface/sphere in 3D

$$\mathbf{q}: R^2 \to R^d, d = 1, 2, 3, \dots$$
$$(u, v) \mapsto \mathbf{q}(u, v) = (x(u, v), y(u, v), z(u, v))$$

$$\mathbf{p}(u,v) = r \cdot \left(\cos(u)\cos(v), \sin(u)\cos(v), \sin(v)\right)$$
$$(u,v) \in [0,2\pi] \times [-\pi/2, \pi/2]$$

# Parametric Curves

 $P_1$ 

Continuity and regularity

- Curve segment  $\mathbf{p}:[a,b] \rightarrow R^d, d=1,2,3,...$ 
  - The same segment can be parameterized differently

**p**<sub>1</sub>: [0,1] → 
$$R^3$$
, **p**( $t$ ) =  $tP_1 + (1-t)P_2$   
**p**<sub>2</sub>: [0,1] →  $R^3$ , **p**( $t$ ) =  $t^2P_1 + (1-t^2)P_2$ 

- A parametric curve is n-times continuously differentiable if the image p is n-times continuously differentiable (C<sup>n</sup>)
- The derivative  $\mathbf{p}'(t)$  at position *t* is a tangent vector
- A curve is regular when **p** is differentiable and  $\mathbf{p'}(t) \neq \mathbf{0}$

 $P_{2}$ 

# Parametric Curves

Continuity and regularity

## • Example $\mathbf{p}: [-2,2] \rightarrow R^3, \mathbf{p}(t) = (t^3, t^2, 0)$ $\mathbf{p}'(t) = (3t^2, 2t, 0) \Rightarrow \mathbf{p}'(0) = 0$

**p** is continuously differentiable, but not regular at position t = 0

![](_page_17_Figure_4.jpeg)

- The regularity of a curve can be expressed as its visual smoothness
- The tangent vector can be interpreted as the velocity (compare to physics v = s')

# Parametric Curves

Arc length parameterization

- A curve is parameterized by arc length when  $\|\mathbf{p}'(t)\| = 1, t \in [a, b]$ 
  - Any regular curve can be parameterized by arc length
  - For arc length parameterized curves:
    - $T(s) \coloneqq \mathbf{p}'(s)$ Tangent vector $K(s) \coloneqq \mathbf{p}''(s)$ Curvature vector $\kappa(s) \coloneqq \|\mathbf{p}''(s)\|$ Curvature (scalar)

# Parametric Surfaces

## Tensor product surfaces

- Example: Bezier surfaces
  - Surface lies in convex hull of control points
  - Surface interpolates the four corner control points
  - Boundary curves are Bezier curves defined only by control points on the boundary

![](_page_19_Picture_6.jpeg)

Other: B-Spline patches, NURBS, etc...

# Parametric Curves and Surfaces

- Advantages
  - Easy to generate points on the curve/surface
  - Separates x/y/z components

- Disadvantages
  - Hard to determine inside/outside
  - Hard to determine if a point is on the curve/surface

# **Implicit Curves and Surfaces**

# Implicit Curves and Surfaces

![](_page_22_Picture_1.jpeg)

## Implicit Curves and Surfaces Examples

Implicit circle and sphere

$$f: R^{2} \to R \qquad g: R^{3} \to R$$
  

$$K = \{ \mathbf{p} \in R^{2} : f(\mathbf{p}) = 0 \} \qquad K = \{ \mathbf{p} \in R^{3} : g(\mathbf{p}) = 0 \}$$
  

$$f(x, y) = x^{2} + y^{2} - r^{2} \qquad g(x, y, z) = x^{2} + y^{2} + z^{2} - r^{2}$$

# Implicit Curves and Surfaces Definition

• Definition  $g: \mathbb{R}^3 \to \mathbb{R}$  $K = g^{-1}(0) = \{\mathbf{p} \in \mathbb{R}^3 : g(\mathbf{p}) = 0\}$ 

Space partitioning

 $\{\mathbf{p} \in \mathbb{R}^3 : g(\mathbf{p}) < 0\} \text{ Inside}$  $\{\mathbf{p} \in \mathbb{R}^3 : g(\mathbf{p}) = 0\} \text{ Curve/Surface}$  $\{\mathbf{p} \in \mathbb{R}^3 : g(\mathbf{p}) > 0\} \text{ Outside}$ 

![](_page_24_Picture_4.jpeg)

## Implicit Curves and Surfaces Gradient

The normal vector to the surface is given by the gradient of the (scalar) implicit function

$$\nabla g(x,y,z) = \left(\frac{\partial g}{\partial x}, \frac{\partial g}{\partial y}, \frac{\partial g}{\partial z}\right)^{\mathrm{T}}$$

Example

$$g(x,y,z) = x^{2} + y^{2} + z^{2} - r^{2}$$
  
 $\nabla g(x,y,z) = (2x,2y,2z)^{T}$ 

![](_page_25_Picture_5.jpeg)

# Implicit Curves and Surfaces

Smooth set operations

Standard operations: union and intersection

![](_page_26_Picture_3.jpeg)

$$\bigcup_{i} g_{i}(\mathbf{p}) = \min g_{i}(\mathbf{p})$$
$$\bigcap_{i} g_{i}(\mathbf{p}) = \max g_{i}(\mathbf{p})$$

- In many cases, smooth blending is desired
  - Pasko and Savchenko [1994]

$$g \cup f = \frac{1}{1+\alpha} \left( g + f - \sqrt{g^2 + f^2 - 2\alpha g f} \right)$$
$$g \cap f = \frac{1}{1+\alpha} \left( g + f + \sqrt{g^2 + f^2 - 2\alpha g f} \right)$$

# **Implicit Curves and Surfaces**

#### Smooth set operations

![](_page_27_Figure_2.jpeg)

• For  $\alpha = 1$ , this is equivalent to min and max

$$\lim_{\alpha \to 1} g \cup f = \frac{1}{2} \left( g + f - \sqrt{(g - f)^2} \right) = \frac{g + f}{2} - \frac{|g - f|}{2} = \min(g, f)$$
$$\lim_{\alpha \to 1} g \cap f = \frac{1}{2} \left( g + f + \sqrt{(g - f)^2} \right) = \frac{g + f}{2} + \frac{|g - f|}{2} = \max(g, f)$$

![](_page_27_Picture_5.jpeg)

## Implicit Curves and Surfaces Blobs

- Suggested by Blinn [1982]
  - Defined implicitly by a potential function around a point  $\mathbf{p}_i$ :  $g_i(\mathbf{p}) = a_i e^{-b_i \|\mathbf{p} \mathbf{p}_i\|^2}$
  - Set operations by simple addition/subtraction

![](_page_28_Figure_4.jpeg)

![](_page_28_Picture_5.jpeg)

# Implicit Curves and Surfaces

- Advantages
  - Easy to determine inside/outside
  - Easy to determine if a point is on the curve/surface

- Disadvantages
  - Hard to generate points on the curve/surface
  - Does not lend itself to (real-time) rendering

# **Polygonal Meshes**

# **Polygonal Meshes**

- Boundary representations of objects
  - Surfaces, polyhedrons, triangles, quadrilaterals

![](_page_31_Picture_3.jpeg)

How are these objects stored?

Geometric graph

- A Graph is a pair G=(V,E)
  - V is a nonempty set of n distinct vertices
    - $\mathbf{p}_0, \, \mathbf{p}_1, \, \dots, \, \mathbf{p}_{n-1}$
  - E is a set of edges (p<sub>i</sub>, p<sub>k</sub>)
- If P is a (discrete) subset of  $\mathbb{R}^d$  with  $d \ge 2$ , then G=(V,E) is a *geometric graph*
- The *degree* or *valence* of a vertex describes the number of edges incident to this vertex

Edges

- Two edges are neighbors if they share a common vertex
- Edges are generally not oriented, and are noted as (p<sub>i</sub>, p<sub>k</sub>)
- Halfedges are edges with added orientation
- An edge is comprised of two halfedges

Polygon

• A geometric graph Q=(V,E) with V={ $\mathbf{p}_0, \mathbf{p}_1, ..., \mathbf{p}_{n-1}$ }  $\subset \mathbb{R}^d$  with  $d \ge 2$  and E={( $\mathbf{p}_0, \mathbf{p}_1$ ),( $\mathbf{p}_1, \mathbf{p}_2$ ),...,( $\mathbf{p}_{n-2}, \mathbf{p}_{n-1}$ )} is a *polygon* 

![](_page_34_Figure_3.jpeg)

- A polygon is
  - Planar, if all vertices lie on a plane
  - Closed, if  $\mathbf{p}_0 = \mathbf{p}_{n-1}$
  - Simple, if the polygon does not self-intersect

Polygonal mesh

- A finite set M of closed, simple polygons Q<sub>i</sub> is a polygonal mesh if:
  - The intersection of enclosed regions of any two polygons in M is empty
  - The intersection of two polygons in M is either empty, a vertex v∈V or an edge e∈E

![](_page_35_Figure_5.jpeg)

Every edge belongs to at least one polygon

Polygonal mesh

- (Continued) The set of all edges that belong to only one polygon is termed the *boundary* of the polygonal mesh, and is either empty or forms closed loops
- If the set of edges that belong to only one polygon is empty, then the polygonal mesh is *closed*
- The set of all vertices and edges in a polygonal mesh form a graph

![](_page_36_Figure_5.jpeg)

Polyhedron

- A polygonal mesh is a polyhedron if
  - Each edge is part of two polygons (it is closed)
  - Every vertex v \in V is part of finite, cyclic ordered set of polygons  $\{Q_i\}$ 
    - The polygons incident to a vertex v can be ordered, such that Q<sub>i</sub> and Q<sub>i</sub> share an edge incident to v

![](_page_37_Picture_6.jpeg)

 The union of all polygons forms a single connected component

- A polygonal mesh is a 2-manifold if It is everywhere locally homeomorphic to a (half) Euclidean 2-ball (a disk)
  - A coffee cup is homeomorphic to a torus
- Examples for a non-manifold vertex and a non-manifold edge

![](_page_38_Picture_5.jpeg)

![](_page_38_Picture_6.jpeg)

![](_page_38_Picture_7.jpeg)

Polyhedron

- The union of all polygonal areas is the *surface* of the polyhedron
- The polygonal areas of a polyhedron are also known as *faces*
- Every polyhedron partitions space into two areas; inside and outside the polyhedron

![](_page_39_Picture_5.jpeg)

Orientation

- Every face of a polygonal mesh is orientable
  - by defining "clockwise" (as opposed to "counterclockwise"). Two possible orientations
  - Defines the sign of the surface normal
- Two neighboring facets
   are equally oriented, if the edge directions of the shared edge (induced by the face orientations) are opposing

Orientability

- A polygonal mesh is orientable, if the incident faces to every edge can be equally oriented
  - If the faces are equally oriented for every edge, the mesh is *oriented*

## Notes

- Every non-orientable closed mesh embedded in R<sup>3</sup> intersects itself
- The surface of a polyhedron is always orientable

![](_page_41_Picture_7.jpeg)

Relation between #vertices, #edges and #faces of a polygonal mesh

Example:

v = #vertices
e = #edges
f = #faces

![](_page_42_Figure_4.jpeg)

Theorem (Euler): The sum

 $\chi(\mathsf{M}) = \mathsf{v} - \mathsf{e} + \mathsf{f}$ 

is constant for a given topology, no matter which mesh we choose

If M has one boundary loop:

$$\chi(M) = v - e + f = 1$$

• If M is homeomorphic to a sphere:  $\chi(M) = v - e + f = 2$ 

Usage

- Let's count the edges and faces in a closed triangle mesh:
  - Ratio of edges to faces: e = 3/2 f
    - each edge belongs to exactly 2 triangles
    - each triangle has exactly 3 edges
  - Ratio of vertices to faces: f ~ 2v
    - 2 = v e + f = v 3/2 f + f
    - 2 v = -f / 2
  - Ratio of edges to vertices: e ~ 3v
  - Average degree of a vertex: 6
    - 2 vertices incident on each edge

## Euler-Poincaré Formula Genus

Theorem: if a polyhedron M is homeomorphic to a sphere with g handles ("holes") then

$$\chi(M) = v - e + f = 2(1 - g)$$

 g is called the genus of M
 handle
 This is not a handle, it's a boundary loop
 Andrew Nealen, Rutgers, 201

Example: simple torus

![](_page_46_Figure_2.jpeg)

$$v-e+f=2(1-g)$$
  
 $8-16+8=2(1-1)$ 

## Generalization

- Theorem: Let
  - v # vertices
  - e # edges
  - f # faces
  - c # connected components
  - h # boundary loops
  - g # handles (the genus) then:

$$v - e + f - h = 2 (c - g)$$

![](_page_47_Figure_10.jpeg)

![](_page_47_Picture_11.jpeg)

Indexed Face Set

![](_page_48_Figure_2.jpeg)

Vertex list (Coordinate3)				Face list (IndexedFaceSet)					
0	0.0	0.0	0.0	0	0	1	2	3	
1	1.0	0.0	0.0	1	0	1	5	4	
2	1.0	1.0	0.0	2	1	2	6	5	
3	0.0	1.0	0.0	3	2	3	7	6	
4	0.0	0.0	1.0	4	3	0	4	7	
5	1.0	0.0	1.0	5	4	5	6	7	
6	1.0	1.0	1.0						
7	0.0	1.0	1.0						

## Space requirements

Coordinates/attributes

#### 3x16+k bits/vertex

![](_page_49_Figure_4.jpeg)

Connectivity

#### 3xlog<sub>2</sub>(V) bits/triangle

![](_page_49_Figure_7.jpeg)

- When uncompressed, connectivity dominates
  - Reminder: f = 2v... so after 256 vertices

Indexed Face Set – Problems

- Information about neighbors is not explicit
  - Finding neighboring vertices/edges/faces etc. costs O(v) time!
  - Local mesh modifications cost O(v)

![](_page_50_Picture_5.jpeg)

Breadth-first search costs O(k\*v) where k = # found vertices

Neighborhood relations [Weiler 1985]

All possible neighborhood relationships: 

EV

EE

EF

FV

FE

FF

1/18

- Vertex – Vertex VV 1.
- Vertex – Edge VE 2.
- 3. Vertex – Face VF
- Edge Vertex 4.
- Edge Edge 5.
- Edge – Face 6.
- 7. Face – Vertex
- Face Edge 8
- 9. Face – Face

![](_page_51_Picture_12.jpeg)

0.0

1.0

1.0

0.0

0.0

1.0

1.0

0.0

coord

0.0

0.0

1.0

1.0

0.0

0.0

1.0

1.0

#### Half-edge data structure

he

0

1

2

3

4

9 13

16

0.0

0.0

0.0

0.0

1.0

1.0

1.0

1.0

![](_page_52_Figure_2.jpeg)

v

0

1

2

3

4

5

6

7

ſ	_
I	e
0	e0
1	e8
2	e4
3	e16
4	e12
5	e20

#### Half-Edgelist

he	vstart	next	prev	opp	he	vstart	next	prev	opp
0	0	1	3	6	12	2	13	15	10
1	1	2	0	11	13	6	14	12	22
2	2	3	1	15	14	7	15	13	19
3	3	0	2	18	15	3	12	14	2
4	4	5	7	20	16	7	17	19	21
5	5	6	4	8	17	4	18	16	7
6	1	7	5	0	18	0	19	17	3
7	0	4	6	17	19	3	16	18	14
8	1	9	11	5	20	5	21	23	4
9	5	10	8	23	21	4	22	20	16
10	6	11	9	12	22	7	23	21	13
11	2	8	10	1	23	6	20	22	9

![](_page_52_Figure_7.jpeg)

![](_page_52_Figure_8.jpeg)

![](_page_52_Picture_9.jpeg)

#### Andrew Nealen, Rutgers, 2011

Half-edge data structure

 Each atomic insertion into the data structure (i.e., vertex, edge or face insertion) requires constant space and time

![](_page_53_Figure_3.jpeg)

Half-edge data structure

- All basic queries take constant O(1) time!
  - In particular, the query time is independent of the model size

![](_page_54_Figure_4.jpeg)

## Half-edge data structure

```
//q: Queue (FIFO) of HalfEdges
HalfEdge he;
q.append(he);
if (he.opposite != null)
   q.append(he.opposite);
while (! q.isEmpty()) {
  he=q.first();
  // do work
  if (he.next.opposite != null)
    q.append(he.next.opposite);
  if (he.next.next.opposite != null)
    q.append(he.next.next.opposite)
}
```

![](_page_55_Picture_4.jpeg)

## Half-edge data structure

```
//q: Queue (FIFO) of HalfEdges
HalfEdge he;
q.append(he);
if (he.opposite != null)
   q.append(he.opposite);
while (! q.isEmpty()) {
  he=q.first();
  // do work
  if (he.next.opposite != null)
    q.append(he.next.opposite);
  if (he.next.next.opposite != null)
    q.append(he.next.next.opposite)
}
```

![](_page_56_Picture_4.jpeg)

## Half-edge data structure

```
//q: Queue (FIFO) of HalfEdges
HalfEdge he;
q.append(he);
if (he.opposite != null)
   q.append(he.opposite);
while (! q.isEmpty()) {
  he=q.first();
  // do work
  if (he.next.opposite != null)
    q.append(he.next.opposite);
  if (he.next.next.opposite != null)
    q.append(he.next.next.opposite)
}
```

![](_page_57_Picture_4.jpeg)

## Half-edge data structure

```
//q: Queue (FIFO) of HalfEdges
HalfEdge he;
q.append(he);
if (he.opposite != null)
   q.append(he.opposite);
while (! q.isEmpty()) {
  he=q.first();
  // do work
  if (he.next.opposite != null)
    q.append(he.next.opposite);
  if (he.next.next.opposite != null)
    q.append(he.next.next.opposite)
}
```

![](_page_58_Picture_4.jpeg)

## Half-edge data structure

```
//q: Queue (FIFO) of HalfEdges
HalfEdge he;
q.append(he);
if (he.opposite != null)
   q.append(he.opposite);
while (! q.isEmpty()) {
  he=q.first();
  // do work
  if (he.next.opposite != null)
    q.append(he.next.opposite);
  if (he.next.next.opposite != null)
    q.append(he.next.next.opposite)
}
```

![](_page_59_Picture_4.jpeg)

## Half-edge data structure

```
//q: Queue (FIFO) of HalfEdges
HalfEdge he;
q.append(he);
if (he.opposite != null)
   q.append(he.opposite);
while (! q.isEmpty()) {
  he=q.first();
  // do work
  if (he.next.opposite != null)
    q.append(he.next.opposite);
  if (he.next.next.opposite != null)
    q.append(he.next.next.opposite)
}
```

![](_page_60_Picture_4.jpeg)

Criteria for design

- Maximal number of vertices (i.e., how large are the models?)
- Available memory size
- Required operations
  - Mesh updates (edge collapse, edge flip)
  - Neighborhood queries
- Distribution of operations (what are the most common/frequent ones?)
- How can we compare different data structures?