# CS 523: Computer Graphics, Spring 2011 Shape Modeling 

## Shape Representations

## Course topics

- Shape representation
- Points
- Parametric surfaces

- Implicits




## Course topics

- Shape representation
- Subdivision surfaces
- Polygonal meshes



## Shape representation

- Where does the shape come from?
- Modeling "by hand":
- Higher-level representations, amendable to modification, control
- Parametric surfaces, subdivision surfaces, implicits

- Acquired real-world objects:
- Discrete sampling
- Points, meshes



## Points

## Shape acquisition

 Sampling of real world objects

## Points

- Standard 3D data from a variety of sources
- Often results from scanners
- Potentially noisy

- Depth imaging (e.g. by triangulation
- Registration of multiple images



## Points

- points = unordered set of 3-tuples
- Often converted to other reps
- Meshes, implicits, parametric surfaces

- Easier to process, edit and/or render
- Efficient point processing and modeling requires a spatial partitioning data structure
- To figure out neighborhoods


## Points

Neighborhood information

- Why do we need neighbors?

need normals (for shading)

upsampling - need to count density
- Need sub-linear implementations of
- k-nearest neighbors to point $\mathbf{x}$
- In radius search $\left\|\mathbf{p}_{i}-\mathbf{x}\right\|<\varepsilon$


## Spatial Data Structures

Commonly used for point processing

- Regular uniform 3D lattice
- Simple point insertion by coordinate discretization
- Simple proximity queries by searching neighboring cells

- Determining lattice parameters (i.e. cell dimensions) is nontrivial
- Generally unbalanced, i.e. many empty cells


## Spatial Data Structures

Commonly used for point processing

- Octree
- Splits each cell into 8 equal cells
- Adaptive, i.e. only splits when too many points in cell
- Proximity search by (recursive) tree traversal and distance to neighboring cells

- Tree might not be balanced



## Spatial Data Structures

Commonly used for point processing

- Kd-Tree
- Each cell is individually split along the median into two cells
- Same amount of points
 in cells
- Perfectly balanced tree
- Proximity search similar to the recursive search in an Octree
- More data storage required for inhomogeneous cell dimensions



## Parametric Curves and Surfaces

## Parametric Curves and Surfaces

- Curves are 1-dimensional parameterizations


$$
S(t)=\mathbf{x}_{t}
$$



- Surfaces are 2-dimensional parameterizations


$$
S\left(x_{,}, y\right)=X_{t}
$$



# Parametric Curves and Surfaces 

Examples

- Explicit curve/circle in 2D

$$
\begin{aligned}
& \mathbf{p}: R \rightarrow R^{d}, d=1,2,3, \ldots \\
& t \mapsto \mathbf{p}(t)=(x(t), y(t), z(t)) \\
& \mathbf{p}(t)=r \cdot(\cos (t), \sin (t), 0) \\
& t \in[0,2 \pi]
\end{aligned}
$$

## Parametric Curves and Surfaces

Examples

- Explicit surface/sphere in 3D

$$
\begin{aligned}
& \mathbf{q}: R^{2} \rightarrow R^{d}, d=1,2,3, \ldots \\
& (u, v) \mapsto \mathbf{q}(u, v)=(x(u, v), y(u, v), z(u, v)) \\
& \mathbf{p}(u, v)=r \cdot(\cos (u) \cos (v), \sin (u) \cos (v), \sin (v)) \\
& (u, v) \in[0,2 \pi] \times[-\pi / 2, \pi / 2]
\end{aligned}
$$

## Parametric Curves

Continuity and regularity

- Curve segment $\quad \mathbf{p}:[a, b] \rightarrow R^{d}, d=1,2,3, \ldots$
- The same segment can be parameterized differently


$$
\begin{aligned}
& \mathbf{p}_{1}:[0,1] \rightarrow R^{3}, \mathbf{p}(t)=t P_{1}+(1-t) P_{2} \\
& \mathbf{p}_{2}:[0,1] \rightarrow R^{3}, \mathbf{p}(t)=t^{2} P_{1}+\left(1-t^{2}\right) P_{2}
\end{aligned}
$$

- A parametric curve is n-times continuously differentiable if the image $\mathbf{p}$ is $n$-times continuously differentiable ( $C^{n}$ )
- The derivative $\mathbf{p}^{\prime}(t)$ at position $t$ is a tangent vector
- A curve is regular when $\mathbf{p}$ is differentiable and $\mathbf{p}^{\prime}(t) \neq \mathbf{0}$


## Parametric Curves

Continuity and regularity

- Example

$$
\begin{aligned}
& \mathbf{p}:[-2,2] \rightarrow R^{3}, \mathbf{p}(t)=\left(t^{3}, t^{2}, 0\right) \\
& \mathbf{p}^{\prime}(t)=\left(3 t^{2}, 2 t, 0\right) \Rightarrow \mathbf{p}^{\prime}(0)=0
\end{aligned}
$$

- p is continuously differentiable, but not regular at position $t=0$

- The regularity of a curve can be expressed as its visual smoothness
- The tangent vector can be interpreted as the velocity (compare to physics $\mathbf{v}=\mathbf{s}^{\prime}$ )


## Parametric Curves

Arc length parameterization

- A curve is parameterized by arc length when

$$
\left\|\mathbf{p}^{\prime}(t)\right\|=1, t \in[a, b]
$$

- Any regular curve can be parameterized by arc length
- For arc length parameterized curves:

$$
\begin{array}{ll}
T(s):=\mathbf{p}^{\prime}(s) & \text { Tangent vector } \\
K(s):=\mathbf{p}^{\prime \prime}(s) & \text { Curvature vector } \\
\kappa(s):=\left\|\mathbf{p}^{\prime \prime}(s)\right\| & \text { Curvature (scalar) }
\end{array}
$$

## Parametric Surfaces

Tensor product surfaces

- Example: Bezier surfaces
- Surface lies in convex hull of control points
- Surface interpolates the four corner control points
- Boundary curves are Bezier curves defined only
 by control points on the boundary
- Other: B-Spline patches, NURBS, etc...


## Parametric Curves and Surfaces

- Advantages
- Easy to generate points on the curve/surface
- Separates x/y/z components
- Disadvantages
- Hard to determine inside/outside
- Hard to determine if a point is on the curve/surface


## Implicit Curves and Surfaces

## Implicit Curves and Surfaces

Illustration


## Implicit Curves and Surfaces

Examples

## - Implicit circle and sphere

$$
\begin{array}{ll}
f: R^{2} \rightarrow R & g: R^{3} \rightarrow R \\
K=\left\{\mathbf{p} \in R^{2}: f(\mathbf{p})=0\right\} & K=\left\{\mathbf{p} \in R^{3}: g(\mathbf{p})=0\right\} \\
f(x, y)=x^{2}+y^{2}-r^{2} & g(x, y, z)=x^{2}+y^{2}+z^{2}-r^{2}
\end{array}
$$

## Implicit Curves and Surfaces

Definition

- Definition $g: \mathrm{R}^{3} \rightarrow \mathrm{R}$

$$
K=g^{-1}(0)=\left\{\mathbf{p} \in \mathrm{R}^{3}: g(\mathbf{p})=0\right\}
$$

- Space partitioning

$$
\begin{array}{ll}
\left\{\mathbf{p} \in \mathrm{R}^{3}: g(\mathbf{p})<0\right\} & \text { Inside } \\
\left\{\mathbf{p} \in \mathrm{R}^{3}: g(\mathbf{p})=0\right\} & \text { Curve/Surface } \\
\left\{\mathbf{p} \in \mathrm{R}^{3}: g(\mathbf{p})>0\right\} & \text { Outside }
\end{array}
$$



## Implicit Curves and Surfaces

Gradient

- The normal vector to the surface is given by the gradient of the (scalar) implicit function

$$
\nabla g(x, y, z)=\left(\frac{\partial g}{\partial x}, \frac{\partial g}{\partial y}, \frac{\partial g}{\partial z}\right)^{\mathrm{T}}
$$

- Example

$$
\begin{aligned}
& g(x, y, z)=x^{2}+y^{2}+z^{2}-r^{2} \\
& \nabla g(x, y, z)=(2 x, 2 y, 2 z)^{\mathrm{T}}
\end{aligned}
$$



$$
\nabla g(x, y, z)=(2,2,0)^{\mathrm{T}}
$$

## Implicit Curves and Surfaces

## Smooth set operations

- Standard operations: union and intersection


$$
\begin{aligned}
& \bigcup_{i} g_{i}(\mathbf{p})=\min g_{i}(\mathbf{p}) \\
& \bigcap_{i} g_{i}(\mathbf{p})=\max g_{i}(\mathbf{p})
\end{aligned}
$$



- In many cases, smooth blending is desired
- Pasko and Savchenko [1994]

$$
\begin{aligned}
& g \cup f=\frac{1}{1+\alpha}\left(g+f-\sqrt{g^{2}+f^{2}-2 \alpha g f}\right) \\
& g \cap f=\frac{1}{1+\alpha}\left(g+f+\sqrt{g^{2}+f^{2}-2 \alpha g f}\right)
\end{aligned}
$$

## Implicit Curves and Surfaces

Smooth set operations

- Examples


$$
\alpha=0
$$



$$
\alpha=1
$$

- For $\alpha=1$, this is equivalent to min and max



## Implicit Curves and Surfaces

Blobs

- Suggested by Blinn [1982]
- Defined implicitly by a potential function around a point $\mathbf{p}_{i}: \quad g_{i}(\mathbf{p})=a_{i} e^{-b_{i}\left\|\mathbf{p}-\mathbf{p}_{i}\right\|^{2}}$
- Set operations by simple addition/subtraction



## Implicit Curves and Surfaces

- Advantages
- Easy to determine inside/outside
- Easy to determine if a point is on the curve/surface
- Disadvantages
- Hard to generate points on the curve/surface
- Does not lend itself to (real-time) rendering


## Polygonal Meshes

## Polygonal Meshes

- Boundary representations of objects
- Surfaces, polyhedrons, triangles, quadrilaterals

- How are these objects stored?


# Definitions 

Geometric graph

- A Graph is a pair $\mathrm{G}=(\mathrm{V}, \mathrm{E})$
- V is a nonempty set of $n$ distinct vertices
$\mathbf{p}_{0}, \mathbf{p}_{1}, \ldots, \mathbf{p}_{n-1}$
- E is a set of edges ( $\mathbf{p}_{\mathrm{i}}, \mathbf{p}_{\mathrm{k}}$ )
- If P is a (discrete) subset of $\mathrm{R}^{d}$ with $d \geq 2$, then $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ is a geometric graph
- The degree or valence of a vertex describes the number of edges incident to this vertex


## Definitions

Edges

- Two edges are neighbors if they share a common vertex
- Edges are generally not oriented, and are noted as ( $\mathbf{p}_{\mathrm{i}}, \mathbf{p}_{\mathrm{k}}$ )
- Halfedges are edges with added orientation
- An edge is comprised of two halfedges



## Definitions

Polygon

- A geometric graph $\mathrm{Q}=(\mathrm{V}, \mathrm{E})$ with $\mathrm{V}=\left\{\mathbf{p}_{0}, \mathbf{p}_{1}, \ldots, \mathbf{p}_{n-1}\right\}$ $\subset \mathrm{R}^{d}$ with $d \geq 2$ and $\mathrm{E}=\left\{\left(\mathbf{p}_{0}, \mathbf{p}_{1}\right),\left(\mathbf{p}_{1}, \mathbf{p}_{2}\right), \ldots,\left(\mathbf{p}_{n-2}, \mathbf{p}_{n-1}\right)\right\}$ is a polygon
- A polygon is

- Planar, if all vertices lie on a plane
- Closed, if $\mathbf{p}_{0}=\mathbf{p}_{n-1}$
- Simple, if the polygon does not self-intersect


## Definitions

Polygonal mesh

- A finite set M of closed, simple polygons $Q_{i}$ is a polygonal mesh if:
- The intersection of enclosed regions of any two polygons in M is empty
- The intersection of two polygons in M is either empty, a vertex $\mathbf{v} \in \mathrm{V}$ or an edge $\mathbf{e} \in \mathrm{E}$

- Every edge belongs to at least one polygon


## Definitions

Polygonal mesh

- (Continued) The set of all edges that belong to only one polygon is termed the boundary of the polygonal mesh, and is either empty or forms closed loops

- If the set of edges that belong to only one polygon is empty, then the polygonal mesh is closed
- The set of all vertices and edges in a polygonal mesh form a graph



## Definitions

Polyhedron

- A polygonal mesh is a polyhedron if
- Each edge is part of two polygons (it is closed)
- Every vertex $\mathbf{v} \in \mathrm{V}$ is part of finite, cyclic ordered set of polygons $\left\{Q_{i}\right\}$
- The polygons incident to a vertex $\mathbf{v}$ can be ordered, such that $Q_{i}$ and $Q_{j}$ share an edge incident to $\mathbf{v}$

- The union of all polygons forms a single connected component


# Definitions 

Manifold

- A polygonal mesh is a 2-manifold if It is everywhere locally homeomorphic to a (half) Euclidean 2-ball (a disk)
- A coffee cup is homeomorphic to a torus

- Examples for a non-manifold vertex and a non-manifold edge



## Definitions

Polyhedron

- The union of all polygonal areas is the surface of the polyhedron
- The polygonal areas of a polyhedron are also known as faces
- Every polyhedron partitions space into two areas; inside and outside the polyhedron



## Definitions

Orientation

- Every face of a polygonal mesh is orientable
- by defining "clockwise" (as opposed to "counterclockwise"). Two possible orientations
- Defines the sign of the surface normal
- Two neighboring facets
 are equally oriented, if the edge directions of the shared edge (induced by the face orientations) are opposing



## Definitions

Orientability

- A polygonal mesh is orientable, if the incident faces to every edge can be equally oriented
- If the faces are equally oriented for every edge, the mesh is oriented
- Notes
- Every non-orientable closed mesh embedded in $R^{3}$ intersects itself
- The surface of a polyhedron is always orientable



## Euler-Poincaré Formula

- Relation between \#vertices, \#edges and \#faces of a polygonal mesh
- Example:

$$
\begin{aligned}
& \mathrm{v}=\text { \#vertices } \\
& \mathrm{e}=\text { \#edges } \\
& \mathrm{f}=\text { \#faces }
\end{aligned}
$$



$$
\begin{aligned}
& v=8 \\
& e=12 \\
& f=6
\end{aligned}
$$



$$
\begin{aligned}
& \mathrm{v}=8 \\
& \mathrm{e}=12+1 \\
& \mathrm{f}=6+1
\end{aligned}
$$

## Euler-Poincaré Formula

- Theorem (Euler): The sum

$$
\chi(M)=v-e+f
$$

is constant for a given topology, no matter which mesh we choose

- If $M$ has one boundary loop:

$$
\chi(M)=v-e+f=1
$$

- If $M$ is homeomorphic to a sphere:

$$
\chi(M)=v-e+f=2
$$

## Euler-Poincaré Formula

- Let's count the edges and faces in a closed triangle mesh:
- Ratio of edges to faces: $\mathrm{e}=3 / 2 \mathrm{f}$
- each edge belongs to exactly 2 triangles
- each triangle has exactly 3 edges
- Ratio of vertices to faces: $f \sim 2 v$
- $2=v-e+f=v-3 / 2 f+f$
- $2-v=-f / 2$
- Ratio of edges to vertices: $e^{\sim} 3 v$
- Average degree of a vertex: 6
- 2 vertices incident on each edge


## Euler-Poincaré Formula

- Theorem: if a polyhedron M is homeomorphic to a sphere with $g$ handles ("holes") then

$$
\chi(M)=v-e+f=2(1-g)
$$

- $g$ is called the genus of $M$

This is not a handle, it's a boundary loop

## Euler-Poincaré Formula Example: simple torus



$$
\begin{gathered}
\mathrm{v}-\mathrm{e}+\mathrm{f}=2(1-\mathrm{g}) \\
8-16+8=2(1-1)
\end{gathered}
$$

## Euler-Poincaré Formula

Generalization

- Theorem: Let
- v- \# vertices
- e- \# edges
- f - \# faces
- c - \# connected components
- h- \# boundary loops
- g - \# handles (the genus) then:

$$
v-e+f-h=2(c-g)
$$



## Data structures for meshes <br> Indexed Face Set



| Vertex list <br> (Coordinate3) |  |  |  |
| :--- | :--- | :--- | :--- |
| 0 | 0.0 | 0.0 | 0.0 |
| 1 | 1.0 | 0.0 | 0.0 |
| 2 | 1.0 | 1.0 | 0.0 |
| 3 | 0.0 | 1.0 | 0.0 |
| 4 | 0.0 | 0.0 | 1.0 |
| 5 | 1.0 | 0.0 | 1.0 |
| 6 | 1.0 | 1.0 | 1.0 |
| 7 | 0.0 | 1.0 | 1.0 |


| Face list <br> (IndexedFaceSet) |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 0 | 0 | 1 | 2 | 3 |
| 1 | 0 | 1 | 5 | 4 |
| 2 | 1 | 2 | 6 | 5 |
| 3 | 2 | 3 | 7 | 6 |
| 4 | 3 | 0 | 4 | 7 |
| 5 | 4 | 5 | 6 | 7 |

## Data structures for meshes

Space requirements

- Coordinates/attributes 3x16+k bits/vertex

- Connectivity

3x $\log _{2}(\mathbf{V})$ bits/triangle

| Triangle 1 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: |
| Triangle 2 | 3 | 2 | 4 |
| Triangle 3 | 4 | 2 | 5 |
| Triangle 4 | 7 | 5 | 6 |
| Triangle 5 | 6 | 5 | 8 |
| Triangle 6 | 8 | 5 | 1 |



- When uncompressed, connectivity dominates
- Reminder: $\mathrm{f}=2 \mathrm{v}$... so after 256 vertices


## Data structures for meshes

 Indexed Face Set - Problems- Information about neighbors is not explicit
- Finding neighboring vertices/edges/faces etc. costs O(v) time!
- Local mesh modifications cost $\mathrm{O}(\mathrm{v})$

- Breadth-first search costs $O\left(k^{*} v\right)$ where $k=\#$ found vertices


## Data structures for meshes

Neighborhood relations [Weiler 1985]

- All possible neighborhood relationships:

| 1. | Vertex | - Vertex |
| :--- | :--- | :--- |
| 2. | Vertex | - Edge |
| 3. | Vertex | - Face |
| 4. | Edge | - Vertex |
| 5. | Edge | - Edge |
| 6. | Edge | - Face |
| 7. | Face | - Vertex |
| 8. | Face | - Edge |
| 9. | Face | FV |
|  |  | FE |
|  |  | FF |



FV


EE


## Data structures for meshes

## Half-edge data structure

Vertexlist

| V | coord |  |  | he |
| :---: | :---: | :---: | :---: | ---: |
| 0 | 0.0 | 0.0 | 0.0 | 0 |
| 1 | 1.0 | 0.0 | 0.0 | 1 |
| 2 | 1.0 | 1.0 | 0.0 | 2 |
| 3 | 0.0 | 1.0 | 0.0 | 3 |
| 4 | 0.0 | 0.0 | 1.0 | 4 |
| 5 | 1.0 | 0.0 | 1.0 | 9 |
| 6 | 1.0 | 1.0 | 1.0 | 13 |
| 7 | 0.0 | 1.0 | 1.0 | 16 |

Face

| $f$ | $e$ |
| :---: | :---: |
| 0 | e 0 |
| 1 | e 8 |
| 2 | e 4 |
| 3 | e 16 |
| 4 | e 12 |
| 5 | e 20 |

## Half-Edgelist

| he | vstart | next | prev | opp | he | vstart | next | prev | opp |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 1 | 3 | 6 | 12 | 2 | 13 | 15 | 10 |
| 1 | 1 | 2 | 0 | 11 | 13 | 6 | 14 | 12 | 22 |
| 2 | 2 | 3 | 1 | 15 | 14 | 7 | 15 | 13 | 19 |
| 3 | 3 | 0 | 2 | 18 | 15 | 3 | 12 | 14 | 2 |
| 4 | 4 | 5 | 7 | 20 | 16 | 7 | 17 | 19 | 21 |
| 5 | 5 | 6 | 4 | 8 | 17 | 4 | 18 | 16 | 7 |
| 6 | 1 | 7 | 5 | 0 | 18 | 0 | 19 | 17 | 3 |
| 7 | 0 | 4 | 6 | 17 | 19 | 3 | 16 | 18 | 14 |
| 8 | 1 | 9 | 11 | 5 | 20 | 5 | 21 | 23 | 4 |
| 9 | 5 | 10 | 8 | 23 | 21 | 4 | 22 | 20 | 16 |
| 10 | 6 | 11 | 9 | 12 | 22 | 7 | 23 | 21 | 13 |
| 11 | 2 | 8 | 10 | 1 | 23 | 6 | 20 | 22 | 9 |

## Data structures for meshes

Half-edge data structure

- Each atomic insertion into the data structure (i.e., vertex, edge or face insertion) requires constant space and time



## Data structures for meshes

Half-edge data structure

- All basic queries take constant $O$ (1) time!
- In particular, the query time is independent of the model size



## Data structures for meshes

Half-edge data structure

- Example: efficient breadth-first search

```
//q: Queue (FIFO) of HalfEdges
HalfEdge he;
q.append(he);
if (he.opposite != null)
    q.append(he.opposite);
while (! q.isEmpty()) {
    he=q.first();
    // do work
    if (he.next.opposite != null)
        q.append(he.next.opposite);
    if (he.next.next.opposite != null)
        q.append(he.next.next.opposite)
}
```



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        q.append(he.next.next.opposite)
}
```



## Data structures for meshes

Criteria for design

- Maximal number of vertices (i.e., how large are the models?)
- Available memory size
- Required operations
- Mesh updates (edge collapse, edge flip)
- Neighborhood queries
- Distribution of operations (what are the most common/frequent ones?)
- How can we compare different data structures?

